

## Financial Guarantee Bond Proposal Form

Please complete in ink and use **BLOCK CAPITALS**.

You will need to tick  where applicable and delete \* where appropriate.

### Section 1 - Applicant

Full Name: \_\_\_\_\_ Title : Mr/Mrs/Miss/Ms/other\* (please state) \_\_\_\_\_

Postal Address: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

Phone number (including dialling code): \_\_\_\_\_

Fax number: \_\_\_\_\_ Mobile/Cell Phone Number: \_\_\_\_\_

Email address: \_\_\_\_\_

Website: \_\_\_\_\_

Company/Business Name: \_\_\_\_\_

Address of Business, or Registered Office: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

Certificate of incorporation number: \_\_\_\_\_ Date of incorporation: \_\_\_\_\_

Legal status: \_\_\_\_\_ General nature of business: \_\_\_\_\_

Country of business keeping: \_\_\_\_\_

### Section 2 - Principal Managers/Partners/Shareholders

Full Name (1): \_\_\_\_\_

Status: Shareholding: \_\_\_\_\_ Age: \_\_\_\_\_ Director ?  Yes  No

Professional Qualifications: \_\_\_\_\_

Postal Address: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

**Full Name (2):** \_\_\_\_\_

Status: Shareholding: \_\_\_\_\_ Age: \_\_\_\_\_ Director ? † Yes  No

Professional Qualifications: \_\_\_\_\_

Postal Address: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

**Full Name (3):** \_\_\_\_\_

Status: Shareholding: \_\_\_\_\_ Age: \_\_\_\_\_ Director ? † Yes  No

Professional Qualifications: \_\_\_\_\_

Postal Address: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

**Full Name (4):** \_\_\_\_\_

Status: Shareholding: \_\_\_\_\_ Age: \_\_\_\_\_ Director ? † Yes  No

Professional Qualifications: \_\_\_\_\_

Postal Address: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

*Copy Legal documents enclosed with the application for A Corporation †*

- Certificate of registration
- Memorandum and Articles of Association
- Certificate of Good Standing
- A Board Resolution certifying the authority of company's authorized signatories
- Photocopies of Applicant's passport/ID
- A copy of last set of accounts, or a letter from Accountants to confirm income and status
- Other documents (See section 6 of this form): \_\_\_\_\_

### Section 3 – Bond Facilities

Amount of Bond Requested: \_\_\_\_\_ Currency: USD / EUROS / GBP / OTHER \_\_\_\_\_

Asset Value, Security Offered: \_\_\_\_\_ Currency: USD / EUROS / GBP / OTHER \_\_\_\_\_

Asset Valuation Enclosed † Name of Valuer Company: \_\_\_\_\_

Amount of Loan: \_\_\_\_\_ Currency: USD / EUROS / GBP / OTHER \_\_\_\_\_

Bond term requested (Minimum term 1 year – renewable annually – maximum 10 years): \_\_\_\_\_

Brief description of the Project, Commercial Contract, or Loan Contract to be supported by the Bond:  
\_\_\_\_\_  
\_\_\_\_\_

**Section 4 – Bond Beneficiary (Lender) - Who is the Bond to be in favour of ?**

Company/Business Name: \_\_\_\_\_

Address of Beneficiary: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

Person to Contact: \_\_\_\_\_

Email Address: \_\_\_\_\_

**Section 5 – Applicant**

Has the applicant †

- a. Been in business for more than 5 years under the same ownership ?..... Yes  No
- b. A Tax Clearance Certificate for the current year ?..... Yes  No
- c. Made profits before tax in each of the last 3 years ?..... Yes  No
- d. Ever failed to complete a contract to the client ´s satisfaction ?..... Yes  No
- e. Ever had a claim made on a bond issued by Aim Global Insurance Management Limited or any associated company or member thereof ?..... Yes  No
- f. Ever had a claim made on a bond issued by any company ?..... Yes  No
- g. Ever had a Judgement in respect of a debt registered against the firm or any member thereof ?..... Yes  No
- h. Or any connected firm or any member thereof ever been bankrupt, in receivership or liquidation ?..... Yes  No
- i. Any pending or unresolved legal or other disputes in existence ?..... Yes  No
- j. Had any trade accounts closed for non-compliance with terms ?..... Yes  No
- k. Arrears of more than 3 months of P.A.Y.E. or other tax payments ?..... Yes  No
- l. Had its accounts qualified by its Auditors in the last 3 years ?..... Yes  No
- m. Plans for major fixed asset investment or disposal in the coming year ?..... Yes  No
- n. Failed to comply with health and safety legislation ? Yes  No
- o. Fulfilled all pension obligations and is a member of a recognised industry pension scheme ? Yes  No
- p. Have you applied to any other Company or Bank for this bond ? Yes  No

If YES. which Company / Bank and with what result ?  
\_\_\_\_\_

**Section 6 – Checklist for other documents to be sent by Email and also by Postal mail**

- 1. Please send your completed Know Your Client (KYC-CIS) form with supporting documents..... **Sent** Yes  No
- 2. Supporting documents include: Project Summary, Credible 3<sup>rd</sup> Party Asset Valuation and/or Appraisal, Security Offered, and a copy of a Loan Agreement, or Letter Of Intent from a Lender, or similar document, or a copy Buy/Sell Commodity Contract, to show why the Guarantee Bond is required..... **Sent** Yes  No
- 3. A copy of the Certificate of Company Registration, if the application is for a Company..... **Sent** Yes  No
- 4. One certified true copy of a passport, EU Identity Card or driving license. The copy document must be current and bear a recognisable photograph of the individual..... **Sent** Yes  No
- 5. Two separate items (not being more than three months old) showing (1) the current trading/registered office address of the Company, and (2) the residential address of the signatory to this application form. Items that are acceptable include but are not limited to: European utility bills, a credit card statement (MasterCard, Visa or Amex) and a bank statement. Any copy document must be certified. Originals sent to us can be returned..... **Sent** Yes  No
- 6. One original letter of reference from an officer of a reputable and recognised bank in an approved country, a practising lawyer or a qualified accountant, on their letterhead. The professional referee must have known the person for more than twelve months..... **Sent** Yes  No
- 7. Please also send us a copy of your Audited Accounts for the last year, Management Accounts, and a Cashflow Projection/Forecast for the next 12 months. A statement of personal assets of the directors may also be requested, if substantial assets are held in personal names..... **Sent** Yes  No

Copy documentation must be fully legible. Any document presented must be in the English language. If not a full translation must be provided.

**Certification**

A certified true copy of the applicant’s passport must be signed, dated and stamped or sealed by an officer of a reputable and recognised bank in an approved country, a notary public, a practising lawyer or solicitor registered with the relevant professional body, Consular Officials, and National or Local Government officials in the course of their official duties.

Suitable wording for the certification of a copy of an identity document bearing a photograph is: "I have met the individual and I confirm this is a true copy of the original document which I have seen".

For documents that verify the residential address suitable wording is: "Certified a true copy of the original document which I have seen".

The certifier must specify his / her full name.

**Please indicate how you first became aware of Aim Global Insurance Management Limited Guarantee Bonds**

Press Advertisement, Television, Internet website, Bank, Financial Advisor, Personal recommendation, other (please state)

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**Section 7 – Brokers /Introducers for Bond Facility (if applicable)**

Broker’s / Introducer’s Name: \_\_\_\_\_

Postal Address: \_\_\_\_\_

Town: \_\_\_\_\_ County/State: \_\_\_\_\_

Country: \_\_\_\_\_ Postcode/ZipCode: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email address: \_\_\_\_\_

Applicants agree and accept upon executing this Application Form that for security purposes all documentation addressed to the Applicant from Aim Global Insurance Management Limited will be sent by Aim Global Insurance Management Limited direct to the Applicant and not via any intermediaries, and all documentation addressed from the Applicant to Aim Global Insurance Management Limited will be sent by the applicant direct to Aim Global Insurance Management Limited and not via any intermediaries.

## Section 8 – Signature Page

Aim Global Insurance Management Limited Irrevocable Financial Guarantee Bonds and Performance Bonds are issued as offshore Private Corporate Bonds supported by corporate assets with a documented value of not less than \$182,759,839,105 US (One Hundred Eighty Two Billion Seven Hundred Fifty Nine Million Eight Hundred Thirty Nine Thousand One Hundred and Five United States Dollars) and securities with a documented value of €2,000,000,000.00 registered on Bloomberg and the London Stock Exchange (SEDOL) with Identifiers: ISIN GB00B4070M07; BB Number E16281576; BBGID BGG001LW8YH2. Aim Global Insurance Management Limited Irrevocable Asset Backed Financial Guarantee Bonds can be considered Investment Grade. Aim Global Insurance Management Limited Irrevocable Asset Backed Financial Guarantee Bonds will be governed by and constructed in accordance with the Laws of England and Wales or EU laws. Aim Global Insurance Management Limited Irrevocable Asset Backed Financial Guarantee Bonds are considered valid only when the original Bond is held by the Indemnified Party and is invalid if in electronic form only. The services provided by Aim Global Insurance Management Limited its shareholders, officers, employees, agents, associated companies, associates, and (or) directors, do not constitute investment advice or recommendations and are not a solicitation to provide investment advisory services to, or to purchase or sell any security to or from, any person or entity. International Chamber of Commerce rules covering Confidentiality and Non Circumvention apply. Aim Global Insurance Management Limited its shareholders, officers, employees, agents, associated companies, associates, and (or) directors do not offer or provide investment or tax advice at any time unless authorized or licensed to do so. The products and services of Aim Global Insurance Management Limited are not offered to any person or entity in any jurisdiction or country where the advertisement, offer, provision or sale of such products and services is restricted or prohibited by law or regulation or where Aim Global Insurance Management Limited (or any of its affiliates) would be subjected to any registration or licensing requirement not already applied for or granted. Applicants confirm and acknowledge that Aim Global Insurance Management Limited are not an insurance company or bank, nor do they hold themselves out to be an insurance or banking institution of any kind whatsoever. Irrevocable Asset Backed Financial Guarantee Bonds are issued subject to contract and Aim Global Insurance Management Limited reserve the right to decline an application at any time before the guarantee bond has been issued live and valid and accepted by the Indemnified Party without giving a reason for so doing. All decisions relating to the cancellation of an Irrevocable Asset Backed Financial Guarantee Bond provided by Aim Global Insurance Management Limited are final.

Any matters relating to insurance endorsements are executed through associate or affiliate divisions or via third parties duly authorized and/or regulated within their respective jurisdictions in the conduct of any insurance activity so engaged, including the Financial Services Authority (FSA) for business conducted in the UK (if applicable). Some of the FSA's rules do not apply to non-UK based companies.

### PLEASE ENSURE THE APPLICANT NAMED IN SECTION 1 SIGNS BELOW

I confirm the above information is true and correct and to the best of my knowledge I have not withheld any information that could materially affect this application. I authorise Aim Global Insurance Management Limited to contact any source to obtain any information it may require.

\_\_\_\_\_

Print Name

\_\_\_\_\_

Signature

\_\_\_\_\_

Date (dd/mm/yy)

**Please send this completed application form and your copy documents for approval by e-mail to:**

The Acceptance Team, Manhattan Capital Equities, inc.      mail to: [info@manhattancapitalequities.com](mailto:info@manhattancapitalequities.com)

FOR OFFICE USE ONLY

Client Reference Number Initials Date
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KYC/CIS Complete Due Diligence Process Activated Source/Agent Reference
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